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PTO/SB/30 (08-00)

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1763

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/864,376
Filing Date	May 25, 2001
First Named Inventor	OHMI et al.
Group Art Unit	1763
Examiner Name	R. Zervigon
Attorney Docket Number	107176-00007

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to application Examination and Provisions Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
 - i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on (Any _____ unentered amendment(s) referred to above will be entered).
 - ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
 - iii. ☐ Other _____
- b. ☒ Enclosed
 - i. ☒ Amendment/Reply
 - ii. ☐ Affidavit(s)/Declaration(s)
 - iii. ☐ Information Disclosure Statement (IDS)/PTO 1449
 - iv. ☒ Other Petition for Extension of Time (1month)

2. Miscellaneous

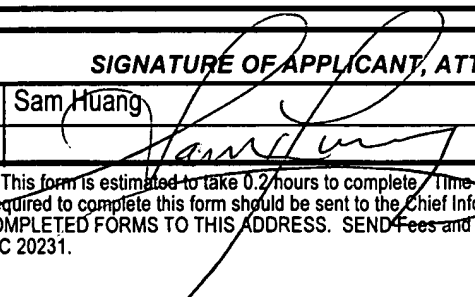
- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other _____

3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 01-2300
 - i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
 - ii. ☒ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
 - iii. ☒ Other Extra claims fee
- b. ☒ Check in the amount of \$1,332.00 (RCE fee \$770.00, EOT-1fee \$110.00, extra claims - \$344.00) enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Sam Huang	Registration No. (Attorney/Agent)	48,430
Signature)		Date	March 29, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.

03/30/2004 EAREGAY1 00000001 09864376

01 FC:1801

770.00 OP

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Tadahiro OHMI et al.

Serial No.: 09/864,376

Filed: May 25, 2001

Confirmation No.: 1605

Art Unit: 1763

Examiner: Zervigon, Rudy

Docket No.: 107176-00007

For: PLASMA PROCESSING APPARATUS AND PLASMA PROCESSING METHOD

AMENDMENT AND FEE TRANSMITTAL

Director to the U.S. PTO
P.O. Box 1450
Alexandria, VA 22313-1450

March 29, 2004

Sir:

Transmitted herewith is an Amendment in the above-identified patent application.


- ☐ A statement to establish small entity status under 37 C.F.R. §§1.9 and 1.27 is attached.
- ☐ Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established by a statement previously submitted.
- ☐ No additional claim fee is required.
- ☒ The claim fee has been calculated as shown below:

	(Column 1)	(Column 2)	(Column 3)	SMALL ENTITY			OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADD'L FEE	OR	RATE	ADD'L FEE
TOTAL CLAIMS	20 MINUS	20	= 6	x 9	\$		x 18	\$108.00
INDEP CLAIMS	3 MINUS	3	= 4	x 43	\$		x 86	\$344.00
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+145	\$	OR	+290	\$
					\$			\$452.00

- ☒ Check in the amount of \$1,332.00 is attached for: RCE (\$770.00), EOT-1 (\$110.00), additional dependent claims (\$108.00), and additional independent claims (\$344.00).

The U.S. Patent and Trademark Office is hereby authorized to charge and deficiency or credit any overpayment of fees associated with this communication to Deposit Account No. 01-2300 referencing docket number 107176-00007. A duplicate copy of this sheet is attached.

Respectfully submitted,



Sam Huang
Registration No. 48,430

Customer No. 004372
ARENT FOX KINTNER PLOTKIN & KAHN, PLLC
1050 Connecticut Avenue, N.W.,
Suite 400
Washington, D.C. 20036-5339
Tel: (202) 857-6000
Fax: (202) 638-4810
SH/grs

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Tadahiro OHMI et al.

Serial No.: 09/864,376

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For: PLASMA PROCESSING APPARATUS AND PLASMA PROCESSING METHOD

AMENDMENT UNDER 37 C.F.R. § 1.114

Introductory Comments

Director of the U.S. PTO
P.O. Box 1450
Alexandria, Virginia 22313-1450

March 29, 2004

Sir:

In response to the Final Office Action dated December 17, 2003, the period for response being extended from March 17, 2004 until April 17, 2004 by the attached Petition for Extension of Time, please amend the above-titled application as follows:

03/30/2004 EAREGAY1 00000001 09864376

02 FC:1201	344.00 OP
03 FC:1202	108.00 OP